Black hole mass estimates from highionization lines: breaking a taboo?

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in collaboration with

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Introduction: importance of black hole mass estimates Black hole mass (M_{BH}) estimates: the virial assumption The "rehabilitating" power of E1 Virial broadening estimators from: a) Low ionization lines (LILs, IP < 15 eV: H β , MgII 2800) b) High ionization lines (HILs, IP > 40 eV: CIV λ 1549) c) Intermediate ionization lines (IILs: SiIII] λ 1892, Al III λ 1860); preliminary results

"Photoionization masses"

Conclusion

Feedback to the host galaxy: massive, fast outflows are affected by the ratio of radiation to gravitational forces, and are invoked to account for the M_{BH} - bulge velocity dispersion correlation

Fabian 2012; Kormendy & Richstone 2013: King & Pounds 2015, Ferland et al. 2009; Marziani et al. 2016a,b; 2017 (submitted)

The ratio between radiation and gravitation forces: influences **broad-line region dynamics**; lower column density material may flow out of the emitting region

Ferland et al. 2009; Marziani et al. 2010; Netzer & Marziani 2010; Marziani et al. 2017, submitted.

Black hole masses of high redshift quasars provide constraints on primordial black holes collapse

Smith, Broom, Loeb 2017, and references therein; Trakhtenbrot et al. 2015



f structure factor

if $\delta v = \text{FWHM}$, isotropy $:\frac{\sqrt{3}}{2}\text{FWHM} \to f = 0.75$

δv : virial broadening

estimators from line width measurements Key assumption: emission line (or component) symmetric and unshifted with respect to the quasar rest frame

Emitting region distance *r*_{BLR}

from central continuum source Time lag τ given by the peak or centroid of the cross-correlation function between line and continuum ($r_{BLR} = c \tau$); scaling laws, or photoionization estimates

Virial black hole masses: scaling laws for large samples



Caveats

Reverberation mapping assumptions compact continuum source, fairly linear response. Unpredicted behavior of NGC 5548 in 2014: shielding, optically thin gas, changing size of continuum source?

Horne et al. 2017; Pei et al. 2017; Fasnaugh et al 2016

The r_{BLR} - L scaling relation: has a non-negligible intrinsic dispersion, and r_{BLR} depends on dimensionless accretion rate. Du et al. 2016; 2017

Line profiles as virial broadening estimators: errors so large to lead to full loss of information e.g., Croom 2011



One value of the structure factor obtained scaling the MBH to agree with the dynamical masses, f(FWHM)≈2 but structure factor likely different for different type-1 quasar populations.

Woo et al. 2010; cf Gültekin et al. 2009; Onken et al. 2004; Ferrarere & Merritt 2000; also Graham et al. 2011







Eigenvector 1: an useful tool to organize quasar diversity



Sulentic et al. 2002 (z < 1, log L < 47 [erg/s]); **Boroson & Green 1992; Sulentic et al. 2000**, 2007; discussed in more than 400 papers: Dultzin-Hacyan et al. 1997; Shang et al. 2003, Yip et al.2004, Kruzcek et al 2011; Tang et al. 2012; Kuraszkiewic**7** et al. 2008; Mao et al. 2009; Grupe 2004, Wang et al. 2006 SDSS data : Richards et al. 2011; Shen & Ho 2014, Sun & Ho 2015, Brotherton et al. 2015 **Eigenvector 1**: Originally defined by a Principal Component Analysis of PG quasars, and associated with an anti-correlation between strength of FeII λ 4570, R_{Fell} (or [OIII] 5007 peak intensity) and FWHM of H β .

The E1 main sequence (MS) in the optical plane FWHM(H β) vs $R_{FeII} = I(FeII\lambda 4570)/I(H\beta)$ allows for the definition of spectral types.



The "rehabilitating power of Eigenvector 1": two Populations, A and B

L/L_{Edd} is the driver of the E1 MS

Boroson & Green 1992, Marziani et al. 2001, Shen & Ho 2014, Sun & HSen 2015

Population A (FWHM Hβ<4000 km/s) and Population B(roader) sources

Pop. A: high L/L_{EDD}; Pop. B: low L/L_{EDD}.

More appropriate than the distinction NLSy1-rest of type-1 AGNs; called wind and disk dominated by Richards et al., Population 1 and 2 by Collin et al. 2006.

Probably due to a change of accretion mode

Marziani et al. 2003b, Marziani et al. 2014

4DE1: 2 more "ortogonal" parameters: Γ_{soft} , c(½) CIV λ 1549;

many more correlates, including line profile shapes

Table 1s of Sulentic et al. 2011 and Fraix-Burnet et al. 2017



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Virial broadening estimators: LILs along E1 sequence

Hβ and MgII from extreme Pop. B: low FeII, broad profiles redward asymmetric Broad component + (redshifted) verybroad component to extreme Pop. A, narrower, strong FeII, slightly blueward asymmetric Lorentzian profiles + blueshifted excess



Marziani et al 2013a,b; SDSS sample covering both H β and MgII 0 .4 < z < 0.7





SDSS sample covering both H β and MgII 0 .4 < z <0.7; Marziani et al. 2013a,b; Wang et al. 2009; cf. Trakhtenbrot & Netzer 2012; Mejia-Restrepo et al. 2016

LIL resonance line MgIIλ2800: low ionization outflows detected in the extreme Pop. A spectral types

	ξηβ	ξ _{MgII}
A3-A4	0.8/0.9	0.75/0.8
A1-A2	1.0	1.0
B1	0.8	0.9
10 B1+/B1++	0.8	0.9

 $FWHM(line)_{vir} = \xi FWHM(line)_{obs}$

where

$$\xi = \frac{FWHM(\text{line})_{\text{BC}}}{FWHM(\text{line})_{\text{obs}}},$$

LILs are dominated by a symmetric, "virialized" broad component: $1 \ge \xi \ge 0.75$.

Virial broadening estimators: the HIL CIVλ1549 along the E1 sequence



CIVλ1549: scaled Hβ from + excess blueshifted emission increasing from B1++ to A3 almost symmetric, unshifted LIL (⇒"virialized" emitting region) + outflow/wind component that dominates in A3/A4 spectral types

e.g., Leighly 2000, Bachev et al. 2004, Marziani et al. 2010; Denney et al. 2012



Virial broadening estimators: the HIL CIVλ1549 along the E1 sequence



Large shift of CIV λ 1549 centroid at ½ along the MS are found for FWHM(H β) < 4000 km s⁻¹ in the E1 optical plane.

This result also reinforces the suggestion of a discontinuity at FWHM(H β) \approx 4000 km s⁻¹ suggested by the H β profile shape change.

Marziani & Sulentic 2012; Sulentic et al. 2007; low *z* sample UV FOS data

Virial broadening estimators: the CIVλ1549 "taboo"



Composite spectra: H β becomes broader with increasing *L* (over 43 < logL < 48.5 [erg s⁻¹]) but shapes are similar to the ones at low z



Virial broadening estimators: LIL H_β at high-L

"Symmetrization" methods:

- substitution of the BC extracted through the specfit analysis in place of the full Hβ profile.
- symmetrization of the profile: FWHM_{symm} = FWHM 2 $c(\frac{1}{2})$ (symm in Fig. 2);
- correction based on spectral type, as defined from the analysis of the H β profile in a large SDSS-based sample at 0.4 $\lesssim z \lesssim 0.7$ (labeled as cm in Fig. 2), following Marziani et al. (2013a). In practice, this means to correct H β for Pop. B sources by a factor $\xi_{H\beta} \approx 0.8$;
- correction derived by pairing the observed FWHM to the best width estimator from reverberation mapping, following the relation FWHM_c ≈ 1.14 FWHM-601 – 0.0000217FWHM² derived by Sulentic et al. (2006, labeled corr);

 All "symmetrization" methods could be considered equivalent at high L.
The Hβ profile shapes at high L are consistent with those at low-z, lower L.

A "virialized system" emitting mainly LILs 15



Virial broadening estimators: LIL H_β behavior over a wide luminosity range



Minimum FWHM(Hβ) is Iuminosity-dependent, consistent with virial assumption.

The Pop. A limit is also luminosity dependent.

Curves assume the virial relation and *r*_{BLR} scaling with luminosity:

 $r_{BLR}FWHM^2 \propto M$ $r_{BLR} \propto L^a$ $FWHM \propto (L/M)^{-1} L^{((1-2a)/2)}$

Minimum FWHM is obtained for a limiting Eddington ratio ~ 1.

Marziani et al. 2009

High-L ($\geq 10^{47}$ erg s⁻¹, 1 <z<2) : VLT/FORS HE sample (Sulentic et al 2017; CIV, 28 objects)

N VLT/ISAAC HE sample (Marziani et al. 2009, 52 objects)

median 3000 km s⁻¹ for Pop. A; 2 cases with CIV c(1/2) blueshift amplitude larger than 5000 km s⁻¹

Widespread powerful outflows coexisting with a virialized lowionization component

L>10⁴⁷ erg s⁻¹: high amplitude CIV 1549 blueshifts in both Pop. A and B



Virial broadening estimators: LIL Hβ at high-L



Virial broadening estimators: CIV vs Hβ FWHM

Low-L: HST/FOS RQ sample of Sulentic et al. 2007 (CIV, 130 sources) Ω Marziani et al. 2003 (Hβ, 215) 52 RQ sources

High-L: VLT/FORS/ISAAC HE sample 28 objects

Same trends seen at low $z \ (\leq 1)$: **Iarge FWHM CIV/ FWHM H** β for Pop. A; rough consistency with large scatter for Pop. B





Worrisome implications for M_{BH} estimates from CIV λ 1549 FWHM

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Virial broadening estimators: HIL CIV corrections

al. 2013:

al. 2015

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Scaling law that assumes $M_{\rm BH} \propto {\rm FWHM^{0.5}}$:

accounts for the over-broadening of Pop. A sources, but overcorrects for Pop. B.

Correction dependent on L/L_{Edd} or a proxy such as the SiIV+OIV]1400 blend/CIV 1549 ratio: interesting, but not working well on our sample, especially for Pop. B.



Empirical correction based on CIV blueshift: works fairly well for high L sources only. Still Requires knowledge of the rest frame.



Virial broadening estimators: HIL CIV corrections

A threshold in CIV *shift amplitude* (*c*(1/2)) and L/L_{Edd} at L/L_{Edd}≈0.2

Strong correlation with L/L_{Edd} if blueshifts are significant (bottom panels)

Weak but significant correlation with luminosity:

partial CC c(1/2) — L (L/L_{Edd} hidden) significant at about 2σ

Multivariate analysis confirms dependence on both L and L/L_{Edd}.

Blueshift trends are consistent with a radiation-driven outflow

$$v_{term} \propto v_{K} \sqrt{\mu \frac{L}{L_{Edd}}} \propto L^{-\alpha/2} M_{BH}^{1/2} \sqrt{\mu \frac{L}{L_{Edd}}}$$
$$\propto L^{b} \sqrt{\frac{L}{L_{Edd}}} if L^{-\alpha/2} M_{BH}^{1/2} \mu^{1/2} \propto L^{b}$$

where v_{K} is the Keplerian velocity and μ is the force multiplier; Laor & Brandt 2002



Circles: Pop. A Squares: Pop. B

A pure dependence on L arises for L/L_{Edd} in a small range.

A strong dependence on *L/L*_{Edd} and a weak dependence on L can be achieved under a variety of scenarios; strength and form of L and *L/L*_{Edd} are sample dependent.

Sulentic et al., 2017

Virial broadening estimators: HIL CIV corrections

A correction based on L and c(1/2) reduces scatter, but coefficients are different for Pops. A and B.





For Pop. B the correction is highly uncertain. A larger sample of Pop. B is needed.

Corrections based on c(1/2) require rest-frame knowledge.

A theoretical correction requires that c(1/2) CIV and ionization conditions are accounted for.





Virial broadening estimators: HIL CIV corrections — The "Eddington ratio bias"

Sulentic et al. 2015

Selection effects on L/L_{Edd} in flux limited samples



Higher *L*/*L*_{Edd} selected at higher *z*: the high frequency of CIV blueshifts associated with an "Eddington ratio" bias.

L/L_{Edd} (using c(1/2) as a proxy) and L-based corrections may remain sample dependent.

Virial broadening estimators from IILs: the 1900 Å blend

CIII] measurements have serious problems: in Pop. A; CIII] faint, blended with FeIII in Pop. B: affected by VBC.

FWHM AIIIλ1860 = FWHM SiIII]λ1892

Ion		Table 1 Line Components in the λ 1900 Blend						
	λ	X	$E_l - E_u$	Transition	A _{ki}	nc		
	(Å)	(eV)	(eV)		(s ⁻¹)	(cm ⁻³)		
(1)	(2)	(3)	(4)	(5)	(6)	(7)		
Siп	1808.00	8.15	0.000-6.857	${}^{2}D^{o}_{3/2} \rightarrow {}^{2}P_{1/2}$	2.54×10^{6}			
Sim	1816.92	8.15	0.036-6.859	${}^{2}D^{o}_{5/2} \rightarrow {}^{2}P_{3/2}$	2.65×10^{6}			
Alm	1854.716	18.83	0.000-6.685	${}^2P^o_{3/2} \rightarrow {}^2S_{1/2}$	5.40×10^{8}			
Alm	1862.790	18.83	0.000-6.656	${}^2P^o_{1/2} \rightarrow {}^2S_{1/2}$	5.33×10^{8}			
[Sim]	1882.7	16.34	0.000-6.585	${}^{3}P_{2}^{o} \rightarrow {}^{1}S_{0}$	0.012	6.4×10^4		
Sim]	1892.03	16.34	0.000-6.553	${}^{3}P_{1}^{o} \rightarrow {}^{1}S_{0}$	16700	2.1×10^{11}		
[C III]	1906.7	24.38	0.000-6.502	${}^{3}P_{2}^{o} \rightarrow {}^{1}S_{0}$	0.0052	7.7×10^4		
Сш]	1908.734	24.38	0.000-6.495	${}^{3}P_{1}^{o} \rightarrow {}^{1}S_{0}$	114	1.4×10^{10}		
Fem	1914.066	16.18	3.727-10.200	$z^7 P_3^o \rightarrow a^7 S_3$	6.6×10^{8}			

FWHM Alll (anchored to FWHM SillI]) provides a virial broadening estimator (but AllII is a resonant line).



Virial broadening estimators from IILs: the 1900 Å blend



Virial broadening estimators from IILs: the 1900 Å blend

Pop. A: very good agreement with H β : $\xi_{AIIII} = 1.0$ (Pop. A) Pop. B: lines are narrower than H β : $\xi_{AIIII} = 1.35$ (Pop. B) FWHM_{vir} = FWHM H $\beta_{BC} = 1.35$ FWHM AIIII

VBC/BC decomposition choices creating a small bias

3VBC: med 0.97 SIQR 0.14; NOVBC (wrong) med 1.05 SIQR 0.13







"Photoioionization" Masses

 $U = \frac{\int_{\nu_0}^{+\infty} \frac{L_{\nu}}{h\nu} d\nu}{4\pi r_{\rm BLR}^2 n_{\rm e}c}$ $r_{\rm BLR} = \left(\frac{\int_{\nu_0}^{+\infty} \frac{L_{\nu}}{h\nu} d\nu}{4\pi U n_{\rm e}c}\right)^{\frac{1}{2}}$ $r_{\rm BLR} = \frac{1}{\underbrace{(4\pi c)^{\frac{1}{2}}}_{\rm const.}} \underbrace{(Un_{\rm e})^{-\frac{1}{2}}}_{\rm diagnostics}} \left(\underbrace{\int_{\nu_0}^{+\infty} \frac{L_{\nu}}{h\nu} d\nu}_{\# \text{ ionizing photons}}\right)^{\frac{1}{2}}$



The photon flux Un_e is estimated using diagnostic ratios involving AIIII 1860, SiIII] 1892, SiII 1816, CIV 1549, SiIV +OIV] 1400

r_{BLR} estimates from photoionization agree with ст from RM

(sample of 12 sources, Negrete et al. 2013)



"Photoioionization" Masses

2006

The photoionization method provides an unbiased estimator of *r*BLR

Collin et al Both r_{BLR} and M_{BH} estimates at high L remains largely untested

	f(o _{line})	$df(\sigma_{line})$	f(FWHM)	df(FWHM)			
	MEAN SPECTRUM						
total	3.85	1.15	1.17	0.50			
Popl	4.20	2.09	1.81	1.38			
Pop2	3.48	1.09	0.69	0.19			
PopA	3.93	1.97	2.12	1.47			
PopB	3.75	1.13	0.52	0.13			

2,5

1,5

0.5 500

Pop

flatter,

ILSv1-like

 $f_{1} = 2.12$

 $f_{a} = 0.52$

0.5

aussianity

spikier;

σ_ (km/s)

.5

0

 $\Delta \log r = \log r_{en} - \log r_{vecs} (f_{a}, f_{e})$

-0



Conclusion

Low-ionization lines (H β , MgII λ 2800) provide reliable virial broadening estimators by applying corrections to the observed line width. The corrections depend on the spectral type along the E1 MS, but they are relatively small (less than 20%), and work up to the highest *L* of quasars.

The HIL CIV λ 1549 is not immediately providing a reliable virial broadening estimator. The profile is broadened by an excess emission on its blue side. The shift amplitude depends on both L/L_{Edd} , and L. Large shifts are observed in Pop. A, with Eddington ratio above a critical $L/L_{Edd} \sim 0.2$.

Corrections applied to the observed CIV broadening remain cumbersome even for Pop. A. Pop. B sources at low Eddington ratio require a different correction (ill defined by the present analysis). They require rest frame determination.

Preliminary result on the 1900 blend indicate that the IILs lines could provide a better virial broadening estimator than CIV, even if more data are needed to assess their reliability.

The solution may be to abandon scaling laws altogether and to attempt M_{BH} estimates on an individual basis, considering r_{BLR} from photoionization and $f=f(L/L_{Edd}, a, \theta)$ along the E1 sequence.